SYSTEM PERFORMANCE MEASUREMENT AND OPTIMIZATION

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Obtaining optimum performance from a computer system is often critical to the success of an installation. This is particularly true today when data processing managers are being ask to produce more with little or no increase in their budget.

When most users comment about a system's performance they are really stating how well the system meets their expectations. This means that what is felt to be a performance problem might well turn out to be an expectation problem. If users fail to consider the strengths and limitations of the system while designing application programs great disappointment can result.

Fortunately performance measurement tools formerly used only at the factory have matured and are now being distributed to the field for SE use. With these tools now available at a point closer to the customer, performance problems are being addressed more quickly and in many cases with impressive results.

On the following pages is a representative report based on one of the machines where performance was judged by the users to be unsatisfactory. The report is presented to the customer during a meeting that usually lasts in the neighborhood of two hours. At that time attention is focused on the areas where improvements in performance can be realized as quickly as possible. At all times it must be remembered that the object is to optimize the combination of the computer system and its users not just the system.

I INTRODUCTION	SECTION I
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INTRODUCTION

The purpose of this report is to present an analysis of the performance of your HP3000. This information should help in answering questions such as:

- 1. Is system response time being restricted by CPU, memory or disc contention?
- 2. Are any programs unexpectedly dominating the mix?
- 3. Can additional applications and/or users be added to the system without adversely affecting response?
- 4. What might be done to improve system performance?

The data presented here was obtained from a trace of system activity collected with the event monitoring facility,

A record of each occurence of selected events is written to tape for subsequent analysis. For this report the primary events monitored are associated with memory management activity, process dispatching and IO device activity.

Since data is collected with a monitor using software traps, the monitoring activity necessarily has an effect on the performance of the system. Experience indicates, however, that the results are skewed only slightly and in most cases is undetectable. In any case the information obtained gives one a far greater insight into the system's activity than is obtainable in any other way currently available.

Raw data is usually collected for a period of time much longer than that chosen for detailed analysis. On a heavily loaded system about 500,000 events are recorded on the tape each hour activity is monitored. Since detailed analysis is quite time consuming the tape is scanned for a general picture of the activity recorded. A 15-30 minute 'window' is then chosen for detailed analysis.

The operating system of any computer is designed to manage the system's resources, principally, the processor, main memory and disc resolving conflicts arising from competition amoung the community of users. When demand for any resource approaches the capacity, the management task becomes difficult causing system efficiency to decline.

Performance of a system has to be discussed in the context of the system workload. The programs which make up this workload can be characterized by the type and amount of system resources required for their execution.

In the following sections this report moves from the general to the specific in its investigation of the utilization of the three principal resources mentioned above. First, utilization from an overall point of view is discussed. Then a summary of information by program for all jobs and sessions is presented. Next is a detailed report for each program that was found to be a significant resource user. The section on conclusions and recommendations provides a summary of the significant bottlenecks in the system and suggests ways to improve system performance.

DATA COLLECTION

Period Monitored: Mon, Jun 26, 1978 1:32 - 2:23pm

Total number of events recorded: 461,301

Window chosen for analysis: 1:53 - 2:13pm (1200 secs)

| Unless otherwise noted | | ALL TIMES IN SECONDS | | ALL LENGTHS IN BYTES |

OVERALL RESOURCE UTILIZATION	SECTION II
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OVERALL CENTRAL PROCESSOR UTILIZATION

A fairly good first approximation of how well a system is performing is given by noting the amount of time the CPU spends in each of four states:

- CPU busy the time during which some process was executing;
- Waiting for swaps the time during which the memory manager (MAM) is waiting for a disc I/O to complete and no other process has sufficient memory resources to run;
- 3. Waiting for disc I/O the time during which a process other than MAM is waiting for a disc I/O to complete and no other process is requesting the CPU;
- 4. Idle the time during which no process is requesting the CPU and no process is waiting for I/O to terminate.

CPU STATE	Percent of Window	Total Mins
Busy Waiting for swaps Waiting for disc Idle wait	74.57 75.31 7.87 2.25	14.9 3.1 1.6 0.5

FIGURE 1-1. CPU usage during the window. The average CPU busy interval was 14 ms and the average idle time was 5 ms. These two figures were distorted by the program IDLE.

The CPU busy time can be broken down as follows:
Memory manager 10.36%
Other MPE processes 2.00

The program IDLE ≈30.52 Other user processes 31.69

Note that the CPU was being used by the memory manager or was being held for swapping 25.67% of the time. This indicates that the memory manager is having considerable difficulty meeting the requests for main memory.

OVERALL MEMORY UTILIZATION

As your system is presently configured the resident portion of MPE uses 84,464 bytes of memory leaving 439,824 bytes of 'linked' memory for swapping. The size of resident memory is, to some extent, controlled by responses to configuration questions while doing a SYSDUMP.

In analyzing the utilization of memory it is useful to note whether the allocation was for code or data and if for code whether it came from a program file or a segmented library (SL).

Percent Memory 	Average Alloc	Average Presence	Num of Swaps 	 Overlays per Swap
30.75	3679	8,13	4912	0.741
44.23	5272	12.47	 3331	 1.412
8.46	4393	18.02	550	 0.391
83.44	4327	10.41	8793	0.973
	Memory 30.75 44.23 8.46	Memory Alloc 	Memory Alloc Presence 30.75 3679 8.13 44.23 5272 12.47 8.46 4393 18.02	Memory Alloc Presence Swaps 30.75 3679 8.13 4912 44.23 5272 12.47 3331 8.46 4393 18.02 550

FIGURE 2-1. Memory allocation information. This data applies only to linked memory and does not include any segments allocated prior to the start of monitoring.

The principal concern here is how much swapping went on and how many segments currently in memory had to be overlayed to make room for the new one. The number of swaps shown here indicates a higher than desirable rate of swapping. There were over 7 swaps per second. It is possible to average about 30 disc I/Os per second on the HP3000. This means that about 25% of the maximum possible disc activity was used for swapping.

OVERALL DISC ACTIVITY

For best performance, disc I/O requests should be evenly distributed over the available drives to reduce arm contention and seek times.

							~~~~~~~~	_
	     Dri: 	ve 	Request   Count	   Percent   of Total	   Transfer   Length	%     Busy	Seconds Between Requests	
	ĺ		j	į		i		l
	1	R	11171	40.1	2924	25.65	0.107	ĺ
	1	W	6613	23.7	3100	15.52	7	ĺ
	1 2	R	   1228	4.4	2016			j
		W	•	•	2816	2.80		!
	<b>4</b>	•	871	3.1	1887	1.54	1.378	
	3	R	1596	5.7	2261	   3.57	0.752	
	3	u	808	2.9	1169	1.55		
		R				İ		
	•		1146	4.1 [	2244	2.19	1.045	
	4	U	901	3.2	2003	1.65	1.330	
	12	R	1094	3.9	3044	2.62	1.093	
į	12	W	607	2.2	1673	0.99	1.973	
- [	13	<b>D</b>	1464			i	i	
1			1164	4.2	1632	2.15	1.027	
1	13	<b>W</b>	661	2.4	1388	0.93	1.809	
į		i	27860		ļ	!		
-			1	ĺ	i	i	Ì	

FIGURE 2-2. Global disc activity. The top line for each device applies to reads, bottom line to writes. During the window 74.35 million bytes of data were transferred between disc and memory. Swapping traffic accounted for 57.04 million bytes or 76.7% of the total. Each second an average of 23.22 disc I/O requests were made.

Certain user activities cause the system to access disc storage. It is useful to identify these activities and tabulate their respective I/O loads. The memory manager is almost always the system process which uses disc most heavily. All MAM requests are associated with swapping. The LOADER accesses program files and SL files to resolve external references when the :RUN command is issued. Other system processes which access disc memory include DEVREC (to verify signon information) and LOG (for system logging, if enabled).

DRIVE	SYS	TEM PROCES:	- ·-	USER	 	
		LOHUER	OTHER	PROCS	TOTAL	
1 R	7862	853	72	2384	11171	
1 ⊌	5466	43	2	1102	6613	
2 R	65	1	   123	i i 1039	1 1228	
2 U	!	2	43	826	871	
3 R	480	95	12	l l 1009	   1596	
3 W		2	5	801	808	
4 R	168	74	31	i i 873	   1146	
4 W	ļ <u>į</u>	65	6	830	901	
12 R	111	26		   957	   1094	
12 W	ļ	24		583	607	
13 R	108	ì	15	1041	   1164	
13 W	į	į	29	632	661	
	   14260	1185	<b>338</b>	   12077	   27860	
	51.18%	4.75%	1.21%	43.35%	&1000 	

FIGURE 2-3. Operating system disc access requests. The top line for each device applies to reads and the bottom line is for writes.

During the monitoring window of a system it is possible for each user to run one or more programs. Figure 3-2 shows which programs were run by each user. Also shown are those programs used by the operating system. Included for each user's program is the CPU, memory and disc resources used. Figure 3-1 contains information to help identify users.

The system programs listed are run on behalf of users without their knowledge or intervention. Normally, all of these programs make small demands on system resources. Since most of these programs are run at a much higher priority than user programs, their impact is quickly felt when they become heavily used. The fact that MAM used over 10% of the CPU is an immediate indication that the operating system is have trouble meeting the demand for memory. There is not enough real memory to efficiently handle all the requests.

Note the impact that the COBOL compile (#J5) had on the system. The combined compile and prep used 21% of the CPU and 28% of the memory during the 6 minutes that the operation took. When response times are a problem, COBOL compiles should be kept to an absolute minimum.

The data clearly shows the impact that the A4000 processes have. With only a couple of exceptions, those processes with more than 190 swaps are associated with your application program. The exceptions are significant since they include COBOL and the EDITOR. The EDITOR process during Session 41 ran 18 minutes using over 7% of the available memory and over 4% of the CPU during this time.

JOBNUM	JIN	INTRODUCED	JOB NAME
#\$29	20	MON 1:33P	MANAGER.SYS
#\$34	52	MON 1:35P	A40, RON, FMS
<b>#</b> \$35	40	MON 1:35P	
<b>#</b> \$36	23	MON 1:37P	
<b>#</b> \$37	27	MON 1:37P	A40, OSSUSER.FMS
<b>#</b> \$38	26	MON 1:37P	A40,0SSUSET.FMS
<b>#</b> \$39	28	MON 1:38P	A40, OSSUSER. FMS
<b>#</b> \$40	25	MON 1:40P	A40,0SSUSER.FMS
<b>#</b> \$41	53	MON 1:42P	GEORGE . FMS
<b>#</b> \$4 <b>8</b>	32	MON 1:47P	A40,0SSUSER.FMS
<b>#</b> \$50	31	MON 1:49P	A40, OSSUSER. FMS
<b>#</b> \$52	30	MON 1:50P	A40,0SSUSER.FMS
#853	34	MON 1:50P	A40, OSSUSER, FMS
<b>#</b> \$ <b>55</b>	22	MON 1:52P	A40,0SSUSER.FMS
<b>#</b> S56	51	MON 1:56P	ANNIE.FMS
<b>#</b> \$57	23	MON 1:57P	A40,0SSUSER,FMS
#S <b>58</b>	21	MON 1:57P	OP.FMS
#560	27	MON 1:59P	A40,0SSUSER.FMS
#861	46	MON 1:59P	A40, OSSUSER, FMS
#\$64	3 <b>5</b>	MON 2:02P	A40,0SSUSER.FMS
<b>#</b> \$65	40	MON 2:03P	OSSUSER.FMS
<b>#</b> S67	50	MON 2:04P	RONK . FMS
<b>#</b> \$6 <b>8</b>	41	MON 2:04P	A40, OSSUSER. FMS
<b>#</b> \$71	55	MON 2:12P	TOM. FMS
#J 1	10	MON 1:34P	IDLE, DAN. FMS
<b>#J</b> 5	10	MON 1:50P	WCOMPILE, ANNIE, FMS
#J 6	10	MON 1:56P	MANAGER.SYS

FIGURE 3-1. Session and job identification.

Figure 3-2 on the next two pages contains summary information about each program that was running anytime during the 1200 second window. The number of seconds that the program was observed is shown in column two. CPU usage is shown as a percentage of the seconds observed. Memory used is a percentage of memory available during the time observed. Column five indicates the average size of all segments (code and data) allocated for the program. The disc IO count in column six applies only to IOs associated with files opened by the program. The swap count includes all memory manager IOs caused by this program including the initial allocation of each segment. Overlays indicate how many segments already in memory had to give up memory when the average segment for this program was made present.

J/S#	PROG	SECS   SEEN	%CPU    USED	XMEM	AYG Seg	DISC	   Swaps	OVER Lays
SESS	SIONS							
29	COMMANDS	1197	. 061	. 37	3607	11	81	. 19
34	SEGDYR	182	.331	•		1 2	105	.13
j	SEGPROC	185	•	2.78		657	78	, 69
<u> </u>	COMMANDS	1 1117		,911		300		.30
35	A4000	585	•	•	4769	260	•	1.39
	CONMANDS	617		.15		•		.00
36	A4004 COMMANDS	241	,	•	4570	98   16	114     32	.32 .00
   77	COMMANDS	259   338	•	.55	3015 2957	1 18		. 34
j Sr I	A4000	1 338	•			224	•	1.40
I I 38	A4004	1 1195			6177	528	•	1.66
1 39		1197				1124	•	
40		1200	.53		5154	113	•	1.55
•	EDITOR	1119	4.14		3722	1601	538	.40
i	COMMANDS	1143	.04		3241	14	39	. 33
	EDITOR	54	j 2.59j		4214	68	49	.63
48	A4000	1188	.66	4.67	5898	134	j 352 j	1.63
50	A4001	1196	98	4.49	5403	405	308	1.24
	A4000	1 1165	1 .93	4.37	5402	299	346	1.62
53	COMMANDS	1 1200	111	. 34	3227	70	79	.22
	A4004	1197	.01	. 03		[ 0	13	. 15
	COMMANDS	205	1 .301	.69		24	60	. 25
55	COMMANDS	4	1 .861	•	1130	3	4	.00
	A4003	626	1 .901	3.38		247	196	1.14
56	FCOPY	1 106	.56	2.96		7	48	.90
	FCOPY	301	.65	4.56		1 15	122	.52
	COMMANDS	852	.35	.54		98	127	.40
57	COMMANDS	1 29	1 1.22			24	25	.24
60	A4004	892	.32	.41		138	61	1.02
58		1 195	1.33		3602	93	72	.47
50	COMMANDS	1 262						
	A4000	737	•		2445		•	
	COMMANDS	1 108				•		
61	A4004	1 30	•			24   12	27     43	. 04
1	COMMANDS	75		2.08		36	32	، 46 . 53
	A4004	64				139	•	1.00
62	COMMANDS	•	2.76			28	26	.65
	COMMANDS		3.29			28	•	.27
	A4003		1.27			298	•	1.21
	COMMANDS	26	1.50				16	.19
65	COMMANDS	37					27	. 22
	A4000	522	•	•	4041	272		.80
66	COMMANDS	26	2.02			•	•	1.00

FIGURE 3-2A. Summary information of each program seen during the window. COMMANDS refers to the command interpreter.

	SECS		1	AVG   DISC		OVER I
J/S# PROG	SEEN	USED	XMEM	SEG   I/Os	SWAPS	•
67 EDITOR						
COMMANDS	219				81	. 32 j
EDITOR	,			146   46	65 j	.31 j
68 COMMANDS	215   28		•	948   437	1 101	.26
1 A4003		, ,,,,,		789   24	1 16	.13
69 QUERY	316   275	1		925   278	1 196	1.31
COMMANDS				•	148	.97
70 COMMANDS				•	1 110	.18
FCOPY	69	.54	•		56	.50
71 COMMANDS			•	438   65	43	.93
EDITOR	1 41	1 1.671	•	813   26	25	.68
LUTTOR	1 41	4.09	4.901 4	158   67	48	.83
JOBS						1
1 IDLE	1143	30.521	1 701 0	404 1		1
5 C080L	299	1 16.471	•	421   9	1 26 1	.00 1
COMMANDS		.26		650   493	224	2.98
SEGPROC	1 69	4.44	•	060   48	40	1.17
6 COMMANDS		4.48	•	436   202 297   58	57	.91
8 FORTRAN	86	10.81	•		42	.33
COMMANDS		.601	3.12  3	• • • •	89	.58
FORTRAN	1 197	4.30	9.79 4		81	.44
	, ,,,,	1 4.501	2.721 4	513   426	68	1.28
SYSTEM						ļ
PROGEN	287	1 .271	.47  42	210   16	1 20 1	1.05
MAM	1200	10.36	.00	0   14260	1 0 1	.00 1
IOSYS	11199	. 06	•	014   0	76	.01
IOMSG	1165	.04	•	880   0	1 133	. 02
LOG	11198	02	•	415   63	91	.07
UCOP	1200	. 15	•	945 4	174	.10
DEYREC	11198	1 .11	•	424   68	1 121 1	. 05
PRIMSG	1 1197	1 .02	•	196   0	29	.00
LOAD	1 1132	1.52	•	370   1185	45	.73
SPOOLER	443	1 .17	•	117   14	14	1.36
SPOOLER	1 1061	1 .721	•	124   173	101	.52
			-	-		- •

FIGURE 3-2B. Summary information of each program seen during the window. COMMANDS refers to the command interpreter for the job or session.

Programs normally spend very little time actually using the CPU. Most of the time is spent waiting for some event to terminate. Three events usually account for the majority of the wait time.

- (1) User requested I/O,
- (2) Absent code or data segment,
- (3) Human think time at a terminal.

Of course the third item usually doesn't apply to batch programs. In this case waiting for a higher priority process to give up the CPU is the third significant event.

Interactive programs may also be held up waiting for terminal output. This is caused by writing large amounts of information (i.e. large forms) to the screen in block mode. Adding more terminal buffers to the system configuration will sometimes help. Occasionally programs are seen with significant wait due to database or file locking.

Once the events dominating the wait time have been identified it may be possible to improve performance of individual programs and thus the system as a whole. When the CPU is the limiting resource, the solution is usually an additional computer or another more powerful.

When absent segments are responsible for most of the wait, performance can be improved by adding more real memory to the system. This condition can be caused by segments which are excessively large ( over 10,000 bytes). In this case reducing segment sizes may improve performance to a satisfactory level. The cost of modifying programs to accompolish this must be balanced against the cost of the required additional memory. Frequently adding memory is the most cost-effective solution.

User disc I/O wait time can normally be reduced only through reduction of I/O requests by the program. In a few instances moving files between drives to balance arm contention may help.

Locking waits can often be reduced by carefully rethinking where and when lock requests are issued. Applications locking multiple files (or databases) can make reduction of locking contention very difficult.

PROCESS/ SECONDS USING ABSENT USER FILE   SESSION OBSERVED CPU SEGMENT DISC TO LOCK	TERM I
	READ
	i
7 7 7 7	<b>%</b> i
A4000/35 585 3.0 21.1 1.6 2.3	66.8
1 37 338 3.2 30.3 2.4 14.8	45.7
48 1188 0.7 6.4 0.6 17.4	•
52 1165 0.9 6.2 1.0 17.4	74.4
60 737 2.9 13.9 5.7 10.5	70.7
55 500	53.0
1 04001/80	58.6
1 04003/79	66.3
1 04007440	49.8
55	78.2
13.0	74.7
1 64 611 1.3 8.1 1.6 9.6	78.5
68 316 2.7 13.9 2.9 15.3	52.4
A4004/36	83.5 i
<b>  38 1195 1.4 3.3 2.3 10.7</b>	72.5
53 1197 0.0 0.1 0.0 0.0	99.0
1 57 892 0.3 1.0 0.4 0.0	97.8
61 30 3.2 27.9 1.4 0.0	27.2
61 64 4.4 33.2 5.9 0.0	42.8

FIGURE 4-1. Program wall-time distribution. This chart shows what was happening to programs during the time each was being observed. For instance, during the 585 seconds that program A4000 (#S35) was visible within the window it spent 3% of that time executing, 21.1% of the time waiting for a segment to be made present before execution could continue, 1.6% of the time waiting for file I/Os to complete, 2.3% of the time for a data-base lock and 66.8% of the time waiting for response from a terminal read.

The following processes spent a significant amount of time waiting for 'blocked I/O'. This is caused by writing to a terminal when termbufs are unavailable or by nobuf I/O. The largest factor in this case is probably due to the nobuf I/O calls issued by IMAGE.

A4000/60 13.64% A4001/50 11.70 A4002/39 16.57 A4003/40 14.41 A4003/68 10.06

Program: A4000			<b>#</b> \$35		ER.FMS	
	Observe	dı 13:3	36:40 - 14	:02:45	585 	.4 secs
	OCCURR	ENCES	SECS BETW	EEN! AV	ERAGE	CPU
WAITING FOR:	PRENT	COUNT	OCCURENC		WAIT	BETWEEN !
					.237	0.034
ABSENT SEG   Disc i/O	45.15   22.70	521 262	1.12   2.23		. 035	
I TERM READ	1 16.90	195		-	2.005	
I HIGHER PRI	1 12.31	142	•		. 021	
MPE RESOURCE	1 1.47	17	•	-		0.994
TERM WRITE	1.21	14	41.52	•	1.480	
DATA BASE LOCK	•	3	180.61	•	4.562	5.281
MEMORY AND SUAP	PING LOAD	1				
: 	MEMORY U	SED	TIME IN	MEMORY		OYER
PR	CNT AVG	SIZE	AVERAGE	TOTAL	SWAPS	LAYS
I STACK97 1	.008	7816	15.099	332.1	22	1.772
	.002	7872	.701	.7	. 1	2.000
DSEG101	.002	7640	.668	.6	1	5.000
DSEG104	.006	7552	2.149	2.1	1	3.000
DSEG94	.004	5440	1.935	1.9	1	2.000
•	.120	5026	6.621	59.5	9	
1	. 185	2216	7.958	214.8		
DSEG84	.177	1464	10.385	311.5	30	.000
!   Avgs for 28						
•	.015	2353	6.655		238	. 256
   Avgs for 44						
	.643	6714	13.472		296	2.311
   Avgs for 1						•
	. 011	3904	7.357		1	.000

FIGURE 4-2. Process detail. This illustrates the level of detailed information available for each process active within the window. Events which caused the process to wait are listed at the top in order of number of occurrences. Note that this process used only 67 ms of CPU time between each disc I/O which occurred on the average every 2.2 seconds.

While the data here indicates a terminal response time of 991 ms (time between reads minus wait for read) actual response time was around 15 secs. The difference is caused by multiple reads being issued for a formatted screen.

The data presented in this report indicates a couple of reasons for your reduced response time. The memory manager is having trouble meeting demand for main memory. This is shown by the fact that MAM used over 10% of the CPÚ during the window, A swap rate of over 7 per second is another indication.

The memory contention problem can be reduced to some extent by reducing the size of the five large SL segments used by you application program. You should be able to identify these using the individual program data sheets in Section IV.

The second problem is database locking contention, Data in Section IV shows that most executions of your application spent about 15% of the time waiting to lock databases. This problem was particularly severe for A4002 (#\$39) which spent over 22% of the time waiting for database access. No suggestions can be made here since a solution, if available, would require intimate knowledge of the application.

The information presented in Section III shows the impact of program development activity. Note the CPU and memory usage during executions of EDITOR, FCOPY and COBOL. When response times become unbearable, it may be necessary to curtail on-line program development.

During the 50 minutes that data was being collected 54 log-ons occurred. Since the log-on process places a heavy load on the system, even though for a short time, an attempt should be made to reduce this activity. At least 29 sessions lasted less than one minute.

An effort should be made to keep at least 20,000 free sectors on the system disc. At least 12-15% of the total disc space should be free at all times. Theory and experience both show that when the average utilization of any resource approaches the capacity, a large performance degradation results,

Data in Section IV seems to indicate that when the memory and locking bottlenecks are removed, it is unlikely that another "bottleneck" will be uncovered. Almost certainly the CPU will not restrict you for the foreseeable future. Disc activity level is low enough to suggest no problem will occur here either.

#### PERFORMANCE OPTIMIZATION TOOLS

There are several, programs more or less available that in some way provide information pertaining to performance. Many are contributed and thus may or may not execute properly with the lastest release of MPE. The programs marked as contributed are in general circulation but have not been formally placed in the contributed library.

- 1. MONITOR the combination of a software monitor built into MPE and a data reduction program. This is the most complete performance tool available. Output from the reduction program requires careful interpretation. Dedicated tape controller and drive required. Available only to SEs.
- 2. SAMPLER used to identify those sections of code which are executed most frequently. Requires installation of an additional clock board on the system to be sampled. Dedicated tape controller and drive required. Available only to SEs.
- 3. TRACER* measures segment boundary crossings to determine which segments are referenced and how often. Can not be used with COBOL programs. Dedicated tape controller and drive required. Available only to SEs.
- 4. TUNER2 shows current and maximum use of several system tables. Used to determine whether configuration parameters have been correctly chosen. Contributed.
- 5. OVERLORD useful in determining who is executing what program and the corresponding stack size. SOO (Son of Overlord) provides the same functions. In the contributed library.
- 6. SHOWYM indicates, at a gross level, how real and virtual memory is being used. Helpful in determining how much memory a particular program uses. In contributed library.
- 7. SHOWQ a system command which displays information about process scheduling subqueues. If the rightmost of the three columns displayed grows longer than the center column, then the system has insufficient real memory for the current load.

^{*} General availability currently scheduled for early 1979.

- 8. FREE2 displays the amount of free space on all system disc packs and indicates how fractured the space is. Badly fractured disc space can cause a considerable performance degradation. HP supported system utility.
- 9. SYSINFO prints system configuration information without doing a SYSDUMP. Contributed.
- 10. PROGINFO prints stack size, segment size and external reference information about program files. An extended version of PROGSTAT, Contributed.
- 11. KSAMUTIL displays blocking factors and intrinsic call counts associated with KSAM files. HP supported utility delivered with KSAM.
- 12. LOG FILES contain information associated with log-ons, file closes and I/O transfer counts by process. Some contributed programs available to reduce information in these MPE generated files.
- 13. LISTFXX used to carefully track use of disc space. Indicates location of first extent of each file and date of last access in addition to other information. When used in conjunction with FREE2 the amount of recoverable lost disc space can be calculated. In the contributed library.
- 14. DBDRIVER used to quickly obtain information about an IMAGE database such as the size of the DBCB and individual transaction times for a single user. Distributed with IMAGE but is unsupported.
- helps determine performance characteristics of an IMAGE based application. Can measure the effect of multiple users. Timings apply only to the IMAGE response times, not application processing times. Contributed.
- 16. DBSTAT determines length of synonym chains in IMAGE master data-sets. Long synonym chains can cause dreadful performance degradation. Contributed.
- 17. LISTDIR2 used to determine location of the first extent of disc files. HP supported system utility.
- 18. LISTF The '-1' option displays the file label which contains the address of each extent of the file.

  Output can be written to a disc file for subsequent programatic processing. HP supported MPE command.

APPENDIX B

#### MPE USE OF MAIN MEMORY

The amount of memory reserved exclusively for MPE is referred to as fixed memory as opposed to linked memory for which all processes compete. The size of fixed memory can have a substantial effect on performance of machines with less than 512kb of memory.

SIZE   FIXED MEMORY GENERAL LAYOUT BYTES ITEM   Driver linkage table 256   CST block table 132 * 30   Bank table 192	
FIXED MEMORY GENERAL LAYOUT BYTES ITEM     Driver linkage table 256     CST block table 132 * 30	
Driver linkage table 256   CST block table 132 * 30	-
CST block table 132 * 30	
CST block table 132 * 30	
	i
	į
Job process count table 88	ij
System global area 768	1
Data segment table 3072 * 4	i
Code segment table 1536 * 2	Ì
Code segment table extension 4000 * 3	i
Process control block table 4096 * 5	i
Interrupt control stack	i
Terminal buffers	i
I/O queue	i
Interrupt linkage/Device info table 6520	Ì
System buffers 4664 * 8	İ
Working set table 4464 * 30	I
Memory management table 3840 * 9	Ì
Virtual bit map 512	Ì
Virtual disc space locator 769	I
Logical-physical device table 528	-
Timer request list 456 * 12	- 1
Job cutoff table 424	ı
System internal resource table 440	ı
Breakpoint table 520 * 13	-
Memory management code 10200	1
Miscellaneous system routines 3272	1
System clock & timer req list code 3304	- 1
Resident IO code 12064	1
Internal interrupt handler code 1880	-
Dispatcher code 1376	ł
Disc driver code 2424	1
Tape label input buffer 552	I
Miscellaneous areas 5000	ı

FIGURE 8-1. Items in fixed memory of the 2Mb system at the Fullerton HP Technical Center listed in approximately the sequence they actually appear in memory. Lines with asterisks indicate segments whose size is directly affected by responses given at SYSDUMP time. The item numbers are references to Appendix C of the System Manager Manual.

In addition to the 60-90kb of fixed memory several MPE segments are referenced so frequently that they tend to spend much of the time in memory. On the average 3000, MPE is probably holding at least 150kb of memory at all times. Since this is not affected by the total amount of memory on the system adding more memory to systems in the 256-512kb size can substantially improve performance.

The following system code segments tend to spend at least 75% of the time in memory:

FILESYS1 FILESYS1A FILESYS2	8952 5400 5624	FREAD, FWRITE FILESYS support routines FPOINT, FCONTROL, FUPDATE
FILESYS5 FILESYS6	4208 3472	FILESYS support routines FILESYS support routines

Other code segments which spend over 60 percent of the time present:

ALLOCUTIL	5848	Device allocation utilites
PINT	3048	CALENDAR, CLOCK, Process support
DATASEG	5040	Data segment handling routines
CHECKER	1536	GETPRIVMODE, Intrinsic errors
UTILITY	3208	ASCII, BINARY, WHO, READ, PRINT
IOTERMO	5128	Terminal driver
	23808	Tota: nytes

Some MPE data segments which nd to stay in memory are listed below with a typical size in words.

UCOP request queue	208	
LDEV table	2928	
Disc directory seg	2056	
Job master table	1024	
Volume table	200	
FMAYT	528	
Process/job xref	264	
	7208	Total bytes

Program	Total Words	Num	Global  DB-QI	STACK		SL    Segs	SL Words	Tot Segs	-     
I APL	138520	49	6883	1024	31000	21	48012	70	ĺ
BASIC	41564	24	496	800	31000	24	53344	48	١
I BASICOMP	33184	1 18	1146	800	30000	15	37756	33	ĺ
I COBOL	84892	35	3953	2000	32000	18	41212	53	ĺ
EDITOR	33380	1 15	995	4600	8000	17	39680	32	İ
FCOPY	16080	5	4893	800	31000	19	50364	24	ĺ
FORMAINT	7088	2	1722	800	20000	10	23199	12	İ
FORTRAN	45188	21	1701	2500	32767	16	36124	37	İ
FREE2	696	i -i	4172	800	DFALT	10	23048	11	ĺ
LISTDIR2	8936	4	795	800	8192	1 16	42460	20	İ
LISTEQ2	1012	i	2487	800	15000	5	12800	6	ĺ
MERGE	2856	ii	2	800	15000	1 15	i	16	ı
I MPMON	2956	1	5524	i 800	DFALT	15	İ	16	1
1 MRJE	20488	i 7	3221	i 800	DFALT	19	42540	26	1
MRJENON	1860	2	394	1100	j 5200	23	52208	25	ı
MRJEOUT	1816	2	2306	1 1024	DEALT	j 12	29352	14	I
QUERY	42820	20	4174	1300	11000	28	62684	48	١
RESTORE	1948	1 1	2413	800	DEALT	8	20404	9	ı
I RJE	5400	8	927	1 1000	DEALT	24	İ	32	1
RPG	55396	22	3146	800	32000	15	37604	37	١
SEGDYR	1028	1	369	800	DEALT	5	10488	6	1
SEGPROC	12676	1 1 0	905	1000	24000	19	41828		ı
SORT	2976	1	j 1	800	15000	14	36026	1 15	I
SPL	40628	30	3290	2500	32767	1 16	36124	•	I
SPOOK	7404	j 3	1791	800	30000	17	I	1 20	I
SYSDUMP	16092	5	3425	1000	16000	22	!	1 27	1
1	626884	299	1	1	1	1	İ		

FIGURE 8-1. Listing of HP software showing the size of the program in words and the number of segments in the program file. The size of the initial stack is shown along with the maximum size it may grow to. SL segs refers to the number of SL segments that are directly referenced by the program file. These segments may in turn reference other segments. The sum of the length of all directly referenced SL segments is included under the heading SL words. Total segments is simply the sum of the program segments and the SL segments.

MPE US	SE OF	SYSTE	EM D	ISC
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APPENDIX C

#### SYSTEM DISC LAYOUT

	APPROX	
USE OF DISC SPACE	SECTORS	
Disc label	1	
Defective tracks table	4	
Cold load information	22	
Free space table	32	
System directory	384-6000	(configurable)
Virtual memory swapping area	1024-32767	(configurable)
System files and tables	7500	<b>3</b> -
User file area	149,000 - 187,00	0 (7920)

System software uses about 15,000 sectors of the user area.

On non-system discs (other than private volumes) the only overhead is for the disc label, the defective tacks table and the free space table. All other space is available for user files. Master volumes of private volume sets will have a file directory using 1000 - 4000 sectors.

Drive type	Tracks	Sectors	Bytes
7906	1,600	76,800	19,660,800
7920	4,075	195,600	50,073,600
7925	7,335	469,440	120,176,640

### PUB.SYS DISC SPACE

FILE	SECTORS	FILE	SECTORS	FILE	SECTORS
APL	1201	FORTRAN	384	QUERY	383
BASIC	349	FREE2	43	RESTORE	40
BASICOMP	281	INITIAL	400	RJE	61
CICAT	2785	LISTDIR2	84	RPG	472
COBOL	718	LISTEQ2	32	SEGDVR	16
COMMAND	501	MERGE	29	SEGPROC	118
DPAN2	297	MPMON	73	SL SL	5001
EDITOR	284	MRJE	195	SORT	30
FCOPY	174	MRJEMON	24	SPL	362
FORMAINT	76	MRJEOUT	40		
		11102001	70	SPOOK	79
				SYSDUMP	162

I/O PERFORMANCE CONSIDERATIONS | APPENDIX D

### DISC I/O CONSIDERATIONS

All HP7900 family drives have a 937.5 kb/sec data transfer rate and all have identical seek times of 5 ms track-track, 25 ms average random and 45 ms typical full stroke. The 7906 and 7920 each have 48 sectors/track with a 8.3 ms average rotational delay. The 7925 has 64 sectors/track with an average rotational delay of 11.1 ms.

On the average, the HP3000 is capable of completing about 30 disc transfers per second. If a program has exclusive use of the system and is reading sequential sectors without buffering, as many as 58 transfers per second may be achieved. A program randomly writing sector size blocks to a large (115,000 sector) file might see a transfer rate on the order of 20-24 transfers per second. Swapping activity must be considered since it will use some of the 30 transfers available each second.

When a user reads a record, the data will be returned in about \$ milliseconds if the logical record is already in a buffer in memory.

### TERMINAL I/O CONSIDERATIONS

Every character passing to or from a terminal connected to the 3000 through the ATC (asynchronous terminal controller) causes a CPU interrupt. This is true whether the terminal is strapped for character, line or block mode. This can cause performance problems when the aggregate character rate approaches 2000 per second. While this indicates only 8 terminals can be simultaneously transferring a constant 240 characters per second, this is in fact very difficult to achieve for more than one or two seconds at a time.

- 1. Reduce unnecessary logons.
- 2. Allocate often used program files.
- 3. Keep segment sizes under 5,000 words.
  Are any process stacks larger than necessary?
- 4. Check for file or database locking conflicts.
- 5. Will primary paths help database access?
  Are their long sort chains?
  Are there long synonym chains?
  Are any master data-sets more than 80% filled?
  Are all IMAGE DBCBs as small as practical?
  Use "*" in Image item lists whenever possible.
- On-line program development team should use the textfilemasterfile' technique to reduce Text and Keep overhead.
- 7. Maintain sufficient free disc space.

  Is there at least 15,000 free sectors on the system disc?

  Is at least 10% of the total disc space free?
- 8. Sorts invoked from inside programs may run slower because less stack space is available for workspace. The stack may be left much larger than necessary for the rest of the program execution time.
- 9. Data files with high access rates should be evenly distributed over available drives. Program files with high swaps rates should reside on fast drives.
- 10. Keep system tables reasonably configured,
- 13. Are too many batch jobs executing concurrently?
- 14. Do any processes have more files open than necessary?
- 15. Are KSAM file blocking factors optimum?
- 16. Are any programs making excessive use of DEL edits?